

GP/1745

APPLICANT: YAMAGUCHI, F. et al  
SERIAL NO. 09/866,035  
FILING DATE: May 25, 2001  
INVENTION: "Nonaqueous Electrolyte Secondary Battery"

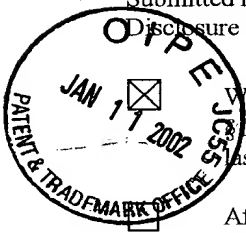
ATTORNEY DOCKET NO. 09792909-5037  
GROUP ART UNIT: 1745  
EXAMINER: Not yet assigned

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER#6  
1-18-02

HON. ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:



Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)

After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. § 1.113; or (2) mailing of a notice of allowance under § 1.311, whichever occurs first.

- ☐ Payment for the fee set forth in 37 C.F.R. § 1.17(p) accompanies this submission; or  
☐ The certification specified in 37 C.F.R. § 1.97(e) is made below. (No fee is required.)

☐ After the mailing of (1) a final action under 37 C.F.R. § 1.113; or (2) a notice of allowance under 37 C.F.R. § 1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. § 1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. § 1.17(i)(1) accompanies this submission.

☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH &amp; ROSENTHAL

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When phoning re this application,  
please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office, Box DD, Washington D.C. on October 25, 2001

Paula M. Theismann  
Paula M. Theismann



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): YAMAGUCHI, F. et al      DOCKET NO.: 09792909-5037  
 SERIAL NO.: 09/866,035      GROUP ART UNIT: 1745  
 DATE FILED: May 25, 2001      EXAMINER: Unknown  
 INVENTION: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"

Hon. Assistant Commissioner for Patents

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED US PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
5,169,736	US	December 8, 1992
5,712,059	US	January 27, 1998

II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
EP 0851524	EP	July 1, 1998
EP 0997960	EP	May 3, 2000
EP 1089371	EP	April 4, 2001
JP 07-192759	JP	July 28, 1995
JP 07-302614	JP	November 14, 1995
JP 11-067266	JP	March 9, 1999
JP 11-121032	JP	April 30, 1999
JP 11-273726	JP	October 8, 1999

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BEST AVAILABLE COPY Docket No. 09792909-5037

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
JP 11-283667	JP	October 15, 1999
JP 11-260401	JP	September 24, 1999
JP 11-339851	JP	December 10, 1999
JP 20-00021442	JP	January 21, 2000
JP 20-00106210	JP	April 11, 2000
JP 20-00123867	JP	April 28, 2000
JP 20-00058125	JP	February 25, 2000

(English abstracts for Japanese applications/patents only)

### III. EXPLANATION OF RELEVANCE

The above-identified references were cited in European Patent Office Search Report of September 25, 2001 in counterpart European Patent Application No. 01112471.6. A copy of the Search Report is submitted herewith.

Submitted by,

(Reg. 32,919)

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Attorneys for Applicant(s)

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Form PTO-1449 (Rev. 8-88)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 9792909-5037	Serial No. 09/866,035
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant YAMAGUCHI, F. et al	
		Filing Date May 25, 2001	Group Art Unit: 1745

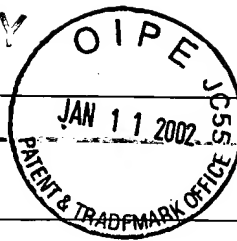
## U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	169,736	12/08/92	Bittihn, R. et al			
	712,059	01/27/98	Barker, J.			

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Class	Subclass	Translation	
					Yes	No
	EP 0851524	07/01/98			X	
	EP 0997960	05/03/00			x	
	EP 1089371	04/04/01			X	
	JP 07-192759 (English abstract only)	07/28/95				X
	JP 07-302614 (English abstract only)	11/14/95				x
	JP 11-067266 (English abstract only)	03/09/99				X
	JP 11-121032 (English abstract only)	04/30/99				X
	JP 11-273726 (English abstract only)	10/08/99				X
	JP 11-283667 (English abstract only)	10/15/99				X
	JP 11-260401 (English abstract only)	09/24/99				x
	JP 11-339851 (English abstract only)	12/10/99				X
	JP 20-00021442 (English abstract only)	01/21/00				x

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		JP 20-00106210 English abstract only)	04/30/99					X
		JP 20-00123867 (English abstract only)	04/11/00					X
		JP 20-00058125 English abstract only)	02/25/00					X
<b>Examiner:</b>								
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								